

## CONSULTATION RESPONSES, POSITION PAPERS, CALL FOR EVIDENCE/INPUT, SUBMISSIONS, REGULATORY ROUNDTABLES, AND POLICY SURVEYS

TOPICS	ACTIONS	DATES
<b>'Anti-Greenwashing Rule' guidance</b> (FCA GC23/3)	Response to FCA guidance consultation 23/3 on the anti-greenwashing rule	January
<b>Critical Third Parties</b> (FCA/PRA CP26/23)	Response to FCA and PRA CP26/23 on Operational Resilience: Critical Third Parties to the UK Financial Sector	March
<b>Politically Exposed Persons (PEPs)</b> (FCA)	Submission to the FCA on its review of PEPs guidance. Response to Guidance Consultation 24/4 on the treatment of PEPs	March October
<b>PRA's Approach to Policy</b> (PRA CP27/23)	Response to PRA CP27/23 on its approach to policy	April
<b>Publicising Enforcement Investigations</b> (FCA CP 24/2 Part 1)	Roundtable with Steve Smart, Joint Executive Director of Enforcement and Market Oversight, FCA Response to FCA CP24/2 Part 1 on its new approach to publicising investigations Joint trade association letter to HMT on CP24/2 Response to the House of Lords Financial Services Regulation Committee Call for Evidence on the FCA proposals on publicising enforcement investigations part 1	April October

<b>Payment Optionality for Investment Research</b> (FCA CP24/7)	Response to the FCA on CP24/7	June
<b>Confirmation of Payee</b> (PSR)	Submission/letter to the Payment System Regulator on the introduction of Confirmation of Payee and its implementation timeline	June
<b>Effectiveness of Money Laundering Regulations</b> (HMT)	Response to HMT Consultation on improving the effectiveness of money laundering regulations	June
<b>DORA</b> (EU)	Joint statement by AFB, AFME, AmCham EU, EPIF, FIA, and FIA EPTA on the EU's Digital Operational Resilience Act	July
<b>UK Immigration System</b> (Home Office)	Position paper containing proposed amendments to the requirements of the UK immigration system (sent to the Home Office)	July
<b>Tax Treatment of Carried Interest</b> (HMT)	Letter to HMT on the tax treatment of carried interest	August
<b>Updates to SS5/21 and branch reporting</b> (PRA CP11/24)	Roundtable with the PRA to discuss CP11/24  Response to PRA CP11/24 on its approach to supervising international banks with activities in the UK	September  November
<b>Consumer Duty</b> (FCA)	Response to FCA GC24/4 on the review of FCA requirements following the introduction of Consumer Duty	October
<b>Third country branches' approach to whistleblowing frameworks</b>	Member survey on how third country branches approach their whistleblowing frameworks and allocate accountability	November

<b>Solvent Exit Planning (PRA PS5/24)</b>	Roundtable with the PRA on Solvent Exit Planning	November
<b>FCA and PRA's secondary objective (House of Lords)</b>	Response to the House of Lords Financial Services Regulation Committee's Call for Evidence on the FCA's and PRA's secondary international competitiveness and growth objectives	November
<b>EU Reporting Requirements (EU Parliament)</b>	Joint association letter on reducing the reporting burden on financial services firms in the EU (led by the Swiss Finance Council)	November

All the documents listed above can be found in the resource library on the AFB website [here](#).

