



AFB TRAINING:
FINANCIAL CRIME COMPLIANCE
(AML) DIPLOMA

A CERTIFIED LEARNING PROGRAMME EXCLUSIVE TO AFB MEMBERS

The AFB Financial Crime Compliance (AML) Diploma is a comprehensive learning programme tailored for AFB member firms. It is designed to equip senior risk professionals with theoretical and practical knowledge to enhance their understanding and, develop new skills and awareness around a topic which continues to be critical to all banks.

The course syllabus is revised regularly to include the very latest updates in Financial Crime Compliance. Each module focuses on helping participants understand how to apply their learning back at bank.

This AFB Diploma course will be delivered in partnership with Great Chatwell Academy of Learning, who have delivered the previous AFB Diploma courses.

Course participants can expect to benefit from:

- Ten separate learning modules that have been designed exclusively for AFB members with up-to-date content focusing on issues relevant and in the news at the time
- Delivery via fully interactive online sessions with guest speakers bringing specific expertise
- Modules that include pre-reading, structured learning, practical-based exercises, and case-study analyses
- The opportunity to interact and exchange ideas with other risk and compliance professionals
- End of module assessments that will confirm understanding
- CPD credits for all sessions attended, awarded by the CPD Certification Service
- On successful completion of all assessments, participants will receive the AFB Financial Crime Diploma awarded in association with the University of Gloucestershire.

COURSE TESTIMONIALS

"The ten modules are directly relevant to my role as the MLRO of a UK regulated bank"

Head of Compliance and MLRO

"A very engaging course, many take aways that you can put into practice straight away"

Head of Legal

"You don't grasp the scope of financial crime until you do this course, it is excellent"

MLRO

MEET YOUR PROGRAMME DIRECTOR



Lee Byrne is a highly respected financial crime prevention professional, with more than 30 years international operational and management experience. Lee specializes in the design, development and delivery of systems and controls to manage and mitigate financial crime risks; including money laundering, tax evasion, sanctions, bribery, corruption and terrorist financing risks. Lee has held the position of Head of Compliance & Financial Crime at a UK authorised bank and was approved as the CF11 Money Laundering Reporting Officer (MLRO) Approved Person and Nominated Officer. Lee has worked in many of the major financial markets, giving him extensive knowledge of international financial crime compliance best practices.

FURTHER INFORMATION

For further information and to book your place please contact: secretariat@foreignbanks.org.uk

PRICING

AFB Members £3,675 plus VAT.
Course limited to 25 participants

CONTENT

The AFB Diploma contains the following modules:

<i>The Role of the MLRO/Head of Financial Crime and Nominated Officer</i>	14 Mar 2023
<i>AML (Retail Banking, Private Banking and Correspondent Banking)</i>	18 Apr 2023
<i>Trade-based Money Laundering (Financial Crime Risks)</i>	9 May 2023
<i>Managing Bribery and Corruptions Risk</i>	13 Jun 2023
<i>Managing Tax Evasion under the Criminal Finances Act 2017</i>	11 Jul 2023
<i>Managing Sanctions Risks and How to Identify Sanctions Evasion</i>	12 Sep 2023
<i>Identifying and Managing Fraud</i>	10 Oct 2023
<i>Combatting the Financing and Proliferation of Terrorism</i>	7 Nov 2023
<i>The Risk Based Approach to Customer Due Diligence</i>	28 Nov 2023
<i>New Technology – Fintech, RegTech and Cryptocurrency (CryptoAssets)</i>	12 Dec 2023

MODULE DESIGN

Each module is designed around its specific subject matter but will in general have the following format:

Pre-reading

Pre-reading notes are provided ahead of each workshop so that participants are well prepared for each session and to enable them to make a more informed contribution to the workshop itself.

Workshop sessions

Each 1-day workshop will consist of four 1-hour sessions, which will concentrate on structured technical learning, practical application of learning and case study analysis.

Module Assessment

A multiple-choice question assessment designed to test understanding and evaluation of the subject matter that has been presented during each workshop and in the pre-workshop reading. Each assessment contains 20 questions. A score of 70% is required to pass each assessment, with provision for a further re-sit if required.

A pass in each assessment is required to achieve the AFB Diploma, with those obtaining an average score of 80% awarded a Merit and those obtaining an average score above 90% receiving a Distinction.

LEARNING OUTCOMES

The AFB Financial Crime Diploma is designed to offer learning at an advanced level to meet the needs of members who are managing financial crime compliance risks. The course is aimed at MLROs and other risk and compliance professionals as well as those who are wishing to prepare for a similar senior appointment in a regulated firm. A key aim of the course is that all delegates will be more confident managing financial crime risks that are applicable to regulated services. By the end of the course, you should be able to:

- Define the role of the MLRO and Nominated Officer and the skills that are required to be successful.
- Describe the international financial crime risks that must be assessed and managed by a regulated firm.
- Complete a financial crime risk assessment for a regulated firm.
- Define the systems and controls framework that is required to be managed to ensure that a regulated firm is not used by criminals or the financiers of terrorism.
- List all the UK and international financial crime laws, regulations and sources of best practices that are applicable to a foreign bank operating in the UK.
- Provide an assessment of future trends and emerging risks for 2023 and beyond, including cryptoassets.

