



FINANCIAL CRIME MASTERCLASS SERIES 2025

MANAGING SANCTIONS RISKS (IDENTIFYING THE RISKS IN TRANSACTIONS AND TRADE)

AN EXCLUSIVE FINANCIAL CRIME MASTERCLASS SERIES FOR AFB MEMBERS

This new for 2025 series of five financial crime masterclasses has been developed in conjunction with Great Chatwell Academy of Learning. These masterclasses are exclusive to AFB.

Held in-person and delivered by Lee Byrne from AFB's training partner Great Chatwell Academy of Learning (GCAL), each session is CPD accredited and designed specifically for member banks.

Each Masterclass is outcomes-focussed and highly interactive. All courses are designed to provide answers to operational and technical issues that risk and compliance professionals must address in 2025 and beyond.

Masterclasses will run from 0930 – 1230 with registration from 0900 on the following dates:

Weds 21 May - Conducting a Firmwide Financial Crime Risk Assessment

Weds 18 June - Performing EDD and Investigating PEP Risks (A Case Study Investigation)

Weds 16 July - Capital Markets (Combatting Money Laundering Risks)

Weds 24 Sept - Correspondent Banking (Mitigating the Risks in Respondent Relationships)

Weds 12 Nov - Managing Sanctions Risks (Identifying the Risks in Transactions and Trade)

All sessions will be held at a central London location.

ABOUT GREAT CHATWELL ACADEMY OF LEARNING

Great Chatwell are trusted partners and providers of risk and compliance guidance, support, and training for more than 5,000 employees and more than 100 regulated and supervised businesses with UK and international risk management responsibilities. This includes representation from a wide range of financial sectors, including retail and commercial banks, trade-finance, correspondent banking, payment and e-money providers, consumer credit, supply chain finance, residential and commercial lending, online auction houses, sellers of high-value jewellery, cryptocurrency, insurance and the legal profession.



COURSE INSTRUCTOR – LEE BYRNE & INVITED GUESTS



Lee Byrne is a highly respected financial crime compliance leader who has spent his career contributing to the fight against crime, operationally as an MLRO and nominated officer, and most recently as the founder of Great Chatwell Academy of Learning through the design and delivery of role-specific training for firms and individuals.

As part of this programme of tailored support for the AFB, Lee will be joined by carefully selected senior practitioners to ensure that each Masterclass delivers the information that delegates require to meet the challenges of regulatory compliance and changing crime landscape.



MANAGING SANCTIONS RISKS (IDENTIFYING THE RISKS IN TRANSACTIONS AND TRADE)

This Masterclass is a programme of instructor-led learning that has been designed for all risk and compliance employees who wish to develop an advanced understanding of how to manage financial and non-financial sanctions risks with an emphasis on assessing and mitigating the risks of sanctions evasion techniques.

The course, developed with the assistance of a former enforcement officer from the US Office of Foreign Assets Control (OFAC), offers a helpful update on sanctions evasion techniques, and tips on how to manage risks, and meet regulatory requirements of UK, EU, and USA sanctions authorities.

Delegates will be supported with practical notes, working templates and risk assessment exercises to ensure that the session is highly interactive, and outcomes focussed.

AFB Members £400 +VAT

Wednesday 12 Nov 0900 - 1230

CENTRAL LONDON VENUE

Session limited to 30 participants

COURSE CONTENT

1. The aims, objectives, and hierarchy of global sanctions including a review of the work of the UN, UK, EU, and USA sanctions bodies including the Security Council, OFSI, OTSI, and OFAC.
2. The latest key sanctions developments including legislation for Russia, DPRK, Iran, Syria, Cuba, and new developments relating to Human Rights and Corruption.
3. Assessing the risks in client relationships and the risk-based approach to identify higher risks in trade and transactions.
4. The importance of leveraging and managing technology to manage the risks, including analysis of key regulatory failures in the UK.
5. Identification of current and emerging sanctions evasion techniques, and the use of 'red flags' to identify activity posing a high sanctions risk.
6. Reporting and escalating concerns to OFSI and OFAC and managing the relationship after making a report.

COURSE STRUCTURE

- In person instructor led
- 3 hours of learning
- Case study analysis
- Working templates
- CPD credits for any session attended

LEARNING OUTCOMES

By the end of this session, you will be able to:

- Define sanctions and the aims and objectives of key UN, UK, EU, and US legislation
- Describe some of the key international sanctioning bodies including the UN Security Council, Financial Action Task Force, OFSI, OTSI and OFAC.
- Explain the prohibitions that are in place for Russia, Iran, DPKR, Syria and Cuba, other activities including human rights abuses and corrupt officials
- Describe higher risks in customer relationships and outline some of the more common new and emerging sanctions evasion trends techniques
- Define 'red flags' in transactions and trade-transactions to identify higher risk activity and relationships
- Define the reporting requirements for positive matches to designations and other applicable laws, including the Terrorism Act 2000.

WHO SHOULD ATTEND?

- All financial crime risk professionals who are responsible for assessing and managing FCC risks
- Customer due diligence analysts and transaction monitoring officers
- Senior FCC risk management professionals, including MLRO, DMLRO and nominated officer
- FCC professionals with responsibility for drafting and maintaining policy and procedures
- FCC professionals with responsibility for conducting FCC and sanctions risk assessment

LOGISTICS & BOOKING INFORMATION

Venue: In-person, Central London venue

Date: Wednesday 12 November 2025

Time: 09:00 – 12:30 (Registration and light breakfast from 09:00)

Price: £400 plus VAT

For further information including any questions around booking please contact

secretariat@foreignbanks.org.uk

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