



FINANCIAL CRIME MASTERCLASS SERIES 2025

CAPITAL MARKETS (COMBATTING MONEY LAUNDERING RISKS)

AN EXCLUSIVE FINANCIAL CRIME MASTERCLASS SERIES FOR AFB MEMBERS

This new for 2025 series of five financial crime masterclasses has been developed in conjunction with Great Chatwell Academy of Learning. These masterclasses are exclusive to AFB.

Held in-person and delivered by Lee Byrne from AFB's training partner Great Chatwell Academy of Learning (GCAL), each session is CPD accredited and designed specifically for member banks.

Each Masterclass is outcomes-focussed and highly interactive. All courses are designed to provide answers to operational and technical issues that risk and compliance professionals must overcome in 2025 and beyond.

Masterclasses will run from 0930 – 1230 with registration from 0900 on the following dates:

Weds 21 May - Conducting a Firmwide Financial Crime Risk Assessment

Weds 18 June - Performing EDD and Investigating PEP Risks (A Case Study Investigation)

Weds 16 July - Capital Markets (Combatting Money Laundering Risks)

Weds 24 Sept - Correspondent Banking (Mitigating the Risks in Respondent Relationships)

Weds 12 Nov - Managing Sanctions Risks (Identifying the Risks in Transactions and Trade)

All sessions will be held at a central London location.

ABOUT GREAT CHATWELL ACADEMY OF LEARNING

Great Chatwell are trusted partners and providers of risk and compliance guidance, support, and training for more than 5,000 employees and more than 100 regulated and supervised businesses with UK and international risk management responsibilities. This includes representation from a wide range of financial sectors, including retail and commercial banks, trade-finance, correspondent banking, payment and e-money providers, consumer credit, supply chain finance, residential and commercial lending, online auction houses, sellers of high-value jewellery, crypto-currency, insurance and the legal profession.



COURSE INSTRUCTOR – LEE BYRNE & INVITED GUESTS



Lee Byrne is a highly respected financial crime compliance leader who has spent his career contributing to the fight against crime, operationally as an MLRO and nominated officer, and most recently as the founder of Great Chatwell Academy of Learning through the design and delivery of role-specific training for firms and individuals.

As part of this programme of tailored support for the AFB, Lee will be joined by carefully selected senior practitioners to ensure that each Masterclass delivers the information that delegates require to meet the challenge of regulatory compliance and changing crime landscape.



CAPITAL MARKETS (COMBATTING MONEY LAUNDERING RISKS)

An essential programme of financial crime compliance and risk management learning for risk and compliance professionals who are managing capital market products and services.

This is an instructor-led, interactive programme of classroom learning that has been designed for all risk and compliance employees who wish to develop an advanced understanding of how to manage financial risks with an emphasis on assessing and mitigating the risks of relating to high-end money laundering, market abuse and insider dealing.

The course will focus on UK regulations but also provide an update on EU developments. Delegates will be supported with practical notes, working templates and risk assessment exercises to ensure that the session is highly interactive, and outcomes focused.

AFB Members £400 +VAT

Wednesday 16 July 0900 - 1230

CENTRAL LONDON VENUE

Session limited to 30 participants

COURSE CONTENT

1. Introduction and defining the key risks – Market abuse and insider dealing; high end transaction money laundering, case study analysis – crime typologies.
2. Laws and regulations: EU and UK Market Abuse Regulation; Proceeds of Crime Act; Money Laundering Regulations; FCA Handbook and the Role of the FCA in combatting Market Abuse; Case study analysis – enforcement action.
3. Identifying the risks in bond markets, stock markets, money markets, derivatives markets, FX markets, and looking at red flags.
4. Managing the risks through a risk-based approach; business risk assessments, customer risk assessments and CDD, transaction monitoring and training.
5. Reporting the risks via suspicious transaction and order reporting and suspicious activity reports.

COURSE STRUCTURE

- In person instructor led
- 3 hours of learning
- Case study analysis and working templates
- CPD credits for any session attended

LEARNING OUTCOMES

By the end of this session, you will be able to:

- Describe how criminals use capital market products and services to launder the proceeds of crimes, and market abuse and insider dealing methodologies
- Define the EU and UK legal and regulatory responsibilities to manage financial crime in capital markets
- Conduct a risk assessment of financial crime risks, whilst identifying the appropriate controls that a firm should have in place to deter and detect criminal conduct
- Outline the framework of systems and controls that is required to manage financial crime, including using red flags to identify unusual and high-risk activity
- Report unusual activity according to laws and regulations, distinguishing between suspicious activity reporting under the POCA and Terrorism Act (SAR) from Suspicious Transaction and Order reporting (STOR)

WHO SHOULD ATTEND?

- All financial crime risk professionals from financial sectors who are responsible for assessing and managing FCC risks in Capital Markets.
- Customer due diligence analysts
- Transaction monitoring officers
- Senior FCC risk management professionals, including MLROs, DMLROs and nominated officers
- Risk and compliance professionals and internal auditors who conduct second- and third-line quality assurance and testing

LOGISTICS & BOOKING INFORMATION

Venue: In-person, Central London venue

Date: Wednesday 16 July 2025

Time: 09:00 – 12:30 (Registration and light breakfast from 09:00)

Price: £400 plus VAT

For further information please contact secretariat@foreignbanks.org.uk

BOOK NOW